

## ON THE STABLE MODULE CATEGORY OF A SELF-INJECTIVE ALGEBRA

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**ABSTRACT.** Let  $\Lambda$  be a finite-dimensional self-injective algebra. We study the dimensions of spaces of stable homomorphisms between indecomposable  $\Lambda$ -modules which belong to Auslander-Reiten components of the form  $\mathbf{Z}A_\infty$  or  $\mathbf{Z}A_\infty/\langle\tau^k\rangle$ . The results are applied to representations of finite groups over fields of prime characteristic, especially blocks of wild representation type.

We are interested in homological properties of modules for self-injective algebras. An important invariant of a finite-dimensional algebra is its stable Auslander-Reiten quiver; and during the past years, it has played a crucial role for classification problems of self-injective algebras of finite or tame representation type.

We call a stable component quasi-serial, if it is of the form  $\mathbf{Z}A_\infty$  or  $\mathbf{Z}A_\infty/\langle\tau^k\rangle$ . If  $A$  is any tame algebra, then most of its Auslander-Reiten components are homogeneous tubes, by [CB], hence are quasi-serial. Moreover, it seems that for self-injective algebras of wild type most components of the stable Auslander-Reiten quiver are quasi-serial. For a block of a group algebra which is of wild representation type, all components are of this form (see [E2]). Therefore, it is important to understand homological properties of quasi-serial components.

If  $\Lambda$  is a finite-dimensional self-injective algebra and  $X, Y$  are  $\Lambda$ -modules, then we denote the stable homomorphisms from  $X$  to  $Y$  by  $\underline{\text{Hom}}(X, Y)$ . Following the terminology in [R1], if  $M$  is a module in a quasi-serial stable component  $\mathcal{C}$ , then the quasi-length of  $M$  is the number of the row to which  $M$  belongs. The module  $M$  is quasi-simple if it has quasi-length one, that is, if it lies at the end of the component.

The first two chapters contain basic facts on dimensions of spaces of stable homomorphisms; this is intended to provide tools which may be of more general use.

In Chapter 3 we prove the following general results. Suppose  $f$  is an equivalence of the stable module category of  $\Lambda$ , consider stable homomorphisms  $\underline{\text{Hom}}(M, fM)$  for modules  $M$  in a quasi-serial component  $\mathcal{C}$ . We prove that the dimension of  $\underline{\text{Hom}}(M, fM)$  is weakly increasing as a function of the quasi-length of  $M$ , except possibly when  $\tau\Omega^{-1} \cong f \not\cong \tau$  on  $\mathcal{C}$  (see 3.3). Moreover, we study the cases when  $f = \tau^s$  and  $f = \Omega^s$  in more detail.

We show that if  $\mathcal{C}$  is a tube, then the dimensions of  $\underline{\text{Hom}}(M, \tau^s M)$  for  $M \in \mathcal{C}$  are unbounded (3.5). In general, if  $\mathcal{C}$  is a component of the form  $\mathbf{Z}A_\infty$  which is not fixed by  $\Omega$  and the dimensions of  $\underline{\text{Hom}}(M, \tau^s M)$  are bounded, then  $\underline{\text{Hom}}(X, \tau^{-m} X) = 0$

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for  $m$  large where  $X \in \mathcal{C}$  is quasi-simple (see 3.6). Following [P], an indecomposable module  $M$  with  $\underline{\text{End}}(M) \cong K$  is called a stable brick. As a consequence we obtain that if  $M$  is a stable brick in a quasi-serial component  $\mathcal{C}$  as above, then all modules in  $\mathcal{C}$  of quasi-length less or equal to the quasi-length of  $M$  are also stable bricks.

These results are valid for group algebras. Most important is the case when  $\Lambda = KG$  where  $G$  is a finite  $p$ -group and  $K$  is a field of characteristic  $p$ ; and for these we obtain stronger results. Assume  $\mathcal{C}$  is a quasi-serial component of such an algebra  $\Lambda$ . We show that then the dimension of  $\underline{\text{Hom}}(M, fM)$  is actually strictly increasing as a function of the quasi-length, if  $f$  is a stable equivalence fixing  $\mathcal{C}$ , except possibly when  $\Omega \cong f \not\cong \Omega^2$  on  $\mathcal{C}$  (4.2); this uses Carlson's theory of rank varieties of modules. It follows that a stable brick in a quasi-serial component must be quasi-simple. Moreover, using properties of the Ext-algebra we show that  $\psi$  is at least unbounded in the general case of a wild block of a group algebra. We note that similar properties hold for restricted enveloping algebras.

In [D], Dade introduced endo-trivial modules; these occur in various contexts in the modular representation theory of finite groups. Endo-trivial modules for  $p$ -group algebras are stable bricks. Hence we have as a consequence that any endo-trivial module of a  $p$ -group algebra of wild representation type is quasi-simple.

Suppose  $\Lambda$  is an arbitrary group algebra and  $\mathcal{C}$  is a quasi-serial stable Auslander-Reiten component of  $\Lambda$ . We show that then the dimensions of  $\underline{\text{Hom}}(M, \tau^s M)$  for  $M \in \mathcal{C}$  are always unbounded (4.7).

A motivation for this work was the observation that there are analogies for representations of hereditary algebras and the stable category of group algebras. If  $A$  is a wild hereditary algebra, then all Auslander-Reiten components except for two are of the form  $\mathbf{Z}A_\infty$ ; see [R1]. On the other hand, all stable Auslander-Reiten components for a block of a group algebra of wild type are quasi-serial; see [E2]. In [B], [K1] properties of  $\underline{\text{Hom}}(M, \tau^s N)$  for regular modules of wild hereditary algebras were obtained which turned out to be of great importance; this suggested a study of  $\underline{\text{Hom}}(M, \tau^s N)$  for self-injective algebras. Moreover, some of our results on stable bricks for self-injective algebras are analogues of results in [K2]. The methods used here are, however, quite different as those for hereditary algebras.

We assume that the field  $K$  is algebraically closed. All algebras have finite dimension over  $K$  and are self-injective. Modules are finite-dimensional left modules, and we write homomorphisms to the right. For general properties of the Auslander-Reiten quiver and of stable categories for self-injective algebras we refer to [ARS], [B].

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#### 1. SELF-INJECTIVE ALGEBRAS

1.1. In this section we will give an outline of general homological properties of the stable category of a self-injective algebra and relate these to the Auslander-Reiten quiver. Recall that the stable category  $\underline{\text{mod}}\Lambda$  has objects such as the  $\Lambda$ -modules, and if  $X, Y$  are  $\Lambda$ -modules, then the space of morphisms from  $X$  to  $Y$  in  $\underline{\text{mod}}\Lambda$  is

defined to be

$$\underline{\text{Hom}}(X, Y) := \text{Hom}(X, Y) / \mathcal{P}(X, Y)$$

where  $\mathcal{P}(X, Y)$  is the subspace of  $\text{Hom}(X, Y)$  of maps factoring through projectives. Since  $\Lambda$  is self-injective, we have  $\mathcal{P}(X, Y) = \mathcal{I}(X, Y)$ , the space of maps factoring through injectives and hence  $\underline{\text{Hom}}(X, Y) = \overline{\text{Hom}}(X, Y) (= \text{Hom}(X, Y) / \mathcal{I}(X, Y))$ . For  $f \in \text{Hom}(X, Y)$  we denote by  $\bar{f}$  its image in  $\underline{\text{Hom}}(X, Y)$ .

We will very often write  $(X, Y)$  for  $\text{Hom}_\Lambda(X, Y)$ , and we write  $(X, -)$  and  $(-, Y)$  for the corresponding functors.

1.2. Assume  $\Lambda$  is a self-injective algebra over  $K$ . If  $M$  is a module, then  $\Omega M$  is the kernel of a minimal projective cover for  $M$ , and  $\Omega^{-1}M$  is the cokernel of an injective hull. Then  $\Omega$  induces an equivalence of the stable category of  $\Lambda$ , and so does the Auslander-Reiten translate  $\tau$ . In particular,  $\Omega$  induces a graph isomorphism of the stable Auslander-Reiten quiver of  $\Lambda$ .

1.3. We shall use the following functorial isomorphisms:

(1) If  $X, Y$  are indecomposable, then

$$D \text{Ext}^1(X, Y) \cong \underline{\text{Hom}}(\tau^{-1}Y, X) \cong \overline{\text{Hom}}(Y, \tau X)$$

where  $D = \text{Hom}(-, K)$  denotes the usual duality. This is the Auslander-Reiten formula. We shall use the fact that  $\text{Ext}^1(X, Y)$  and  $\underline{\text{Hom}}(Y, \tau X)$  and  $\underline{\text{Hom}}(\tau^{-1}Y, X)$  have the same dimension, for  $\Lambda$  self-injective; and for simplicity we only use isomorphisms over  $K$  and omit  $D$ . In particular, we have isomorphism over  $K$

$$\underline{\text{End}}(X) \cong \text{Ext}^1(X, \tau X) \cong \text{Ext}^1(\tau^{-1}X, X).$$

(2) Further we will use that

$$\underline{\text{Hom}}(A, B) \cong \text{Ext}^1(\Omega^{-1}A, B) \cong \text{Ext}^1(A, \Omega B),$$

is natural in  $A$  and in  $B$ .

(3) Moreover,  $\text{Ext}^n(M, N) \cong \text{Ext}^{n-s}(\Omega^s M, N) \cong \text{Ext}^{n-s}(M, \Omega^{-s}N)$ .

1.4 **Lemma.** Assume  $0 \rightarrow A \xrightarrow{\epsilon} B \xrightarrow{\pi} C \rightarrow 0$  is an exact sequence of  $\Lambda$ -modules, and suppose  $W$  is any  $\Lambda$ -module. Then the induced sequence

$$\underline{\text{Hom}}(W, A) \xrightarrow{\bar{\epsilon}} \underline{\text{Hom}}(W, B) \xrightarrow{\bar{\pi}} \underline{\text{Hom}}(W, C)$$

is exact. Moreover,

- (1)  $\bar{\epsilon}$  is 1-1 if and only if  $(\Omega^{-1}W, \pi)$  is onto.
- (2)  $\bar{\pi}$  is onto if and only if  $(W, \pi)$  is onto.

*Proof.* Apply  $\text{Hom}(\Omega^{-1}W, -)$  to the given exact sequence; since  $\underline{\text{Hom}}(W, -)$  is naturally isomorphic to  $\text{Ext}^1(\Omega^{-1}W, -)$  we get the first statement from the long exact sequence of homology, and also part (1) follows.

For the second part, we have  $\bar{\pi}$  is onto if and only if  $\text{Ext}^2(\Omega^{-1}W, \epsilon)$  is 1-1. But  $\text{Ext}^2(\Omega^{-1}W, -)$  is naturally isomorphic to  $\text{Ext}^1(W, -)$ ; and  $\text{Ext}^1(W, \epsilon)$  is 1-1 if and only if  $(W, \pi)$  is onto.  $\square$

1.4.1. For convenience, we state the dual of 1.4.

*There is an exact sequence*

$$\underline{\mathrm{Hom}}(C, W) \xrightarrow{\bar{\pi}} \underline{\mathrm{Hom}}(B, W) \xrightarrow{\bar{\epsilon}} \underline{\mathrm{Hom}}(A, W).$$

(1) *The map  $\bar{\pi}$  is 1-1 if and only if  $(\epsilon, \Omega W)$  is onto.*

(2) *The map  $\bar{\epsilon}$  is onto if and only if  $(\epsilon, W)$  is onto.*

1.4.2 **Lemma.** *Let  $0 \rightarrow X \xrightarrow{f} Y \xrightarrow{g} Z \rightarrow 0$  be a short exact sequence.*

(a) *If  $\alpha : Y \rightarrow M$  is a homomorphism with  $f\alpha = 0$ , then there exists  $\beta : Z \rightarrow M$  with  $\underline{\alpha} = g\underline{\beta}$ .*

(b) *If  $\alpha : M \rightarrow Y$  is a homomorphism with  $\underline{\alpha}g = 0$ , then there exists  $\beta : M \rightarrow X$  with  $\underline{\alpha} = \beta f$ .*

*Proof.* (a) From Lemma 1.4 we get an exact sequence

$$\underline{\mathrm{Hom}}(Z, M) \xrightarrow{\tilde{g}} \underline{\mathrm{Hom}}(Y, M) \xrightarrow{\tilde{f}} \underline{\mathrm{Hom}}(X, M).$$

By assumption  $\underline{\alpha}$  is in the kernel of  $\tilde{f}$ , hence in the image of  $\tilde{g}$ , which is the claim. Part (b) is dual.  $\square$

1.5. Suppose  $W$  is a  $\Lambda$ -module, and denote by  $d_W$  the function

$$d_W = \dim \underline{\mathrm{Hom}}(W, -).$$

It follows from (1.4) that for a short exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  that  $d_W(B) \leq d_W(A) + d_W(C)$  always holds.

1.5.1. Then  $d_W$  is additive on an Auslander-Reiten sequence

$$0 \rightarrow \tau X \xrightarrow{\epsilon} E \xrightarrow{\pi} X \rightarrow 0$$

if and only if  $X$  is not a summand of  $W$  or  $\Omega^{-1}W$ ; this was proved in [ES]. It also follows from 1.4; since by the hypotheses on  $W$  and by the almost split property, both  $(\Omega^{-1}W, \pi)$  and  $(W, \pi)$  are onto.

There is a dual of this result; which follows from 1.4.1. Namely, let  $d'_W := \dim \underline{\mathrm{Hom}}(-, W)$ , then  $d'_W$  is additive on Auslander-Reiten sequences

$$0 \rightarrow Y \rightarrow F \rightarrow \tau^- Y \rightarrow 0$$

for which  $Y$  is not a summand of  $W$  or  $\Omega W$ .

We have for indecomposable modules  $W, X$  that  $d_W(X) = d_{\tau W}(\tau X)$  and  $d_W(X) = d_{\Omega W}(\Omega X)$ .

1.5.2. Suppose  $W$  is arbitrary and

$$0 \rightarrow \tau X \xrightarrow{\epsilon} E \xrightarrow{\pi} X \rightarrow 0$$

is an Auslander-Reiten sequence; this induces always an exact sequence

$$\underline{\mathrm{Hom}}(W, \tau X) \rightarrow \underline{\mathrm{Hom}}(W, E) \rightarrow \underline{\mathrm{rad}}(W, X) \rightarrow 0.$$

This follows from 1.5.1; the image of  $\bar{\pi}$  in 1.5.1 is  $\underline{\mathrm{rad}}(W, X)$ .

## 2. STABLY QUASI-SERIAL COMPONENTS

2.1. We call a stable component *quasi-serial* if it is of the form  $\mathbf{Z}A_\infty$  or  $\mathbf{Z}A_\infty/\langle\tau^k\rangle$ , in analogy to the terminology in [R1]. Moreover, an Auslander-Reiten component is said to be *stably quasi-serial* if its stable part is quasi-serial.

Let  $\mathcal{C}$  be a stable component which is quasi-serial. A module  $X$  in  $\mathcal{C}$  is called *quasi-simple* if the middle term of the AR-sequence of  $X$  is indecomposable (or indecomposable modulo projectives). That is,  $X$  lies at the end of  $\mathcal{C}$ .

Now suppose that  $X$  is quasi-simple. In  $\mathcal{C}$  there is a unique infinite sectional path

$$\cdots \rightarrow [r]X \rightarrow [r-1]X \rightarrow \cdots \rightarrow [2]X \rightarrow [1]X = X.$$

Then any indecomposable in the component which is not projective has the form  $\tau^i[r]X$ , for some  $i \in \mathbf{Z}$ . The quasi-length of a module  $M$  in  $\mathcal{C}$  is the number of the row containing  $M$ , so  $[r]X$  has quasi-length  $r$ . We write  $ql(M) = r$ . The quasi-top of  $[r]X$  is  $X$ .

Dually, the module  $X(r)$  is defined via the unique infinite sectional path

$$X = X(1) \rightarrow X(2) \rightarrow \cdots \rightarrow X(r) \rightarrow X(r+1) \rightarrow \cdots.$$

Then  $X(r)$  has quasi-length  $r$ ; and we call  $X$  the quasi-socle of  $X(r)$ . Let  $Y$  be the quasi-socle of  $X(r)$ , then the quasi-top of  $X(r)$  is  $Y$  where  $Y \cong \tau^{-r+1}X$  and moreover,  $X(r) \cong [r]Y$ .

We use the same terminology for the non-projective indecomposable modules in a stably quasi-serial component.

If  $\mathcal{C}$  is quasi-serial, then so is  $\Omega\mathcal{C}$ ; moreover, the quasi-length is invariant under  $\Omega$ . In the special case of symmetric algebras, if  $\mathcal{C} \cong \mathbf{Z}A_\infty$ , then  $\Omega\mathcal{C} \neq \mathcal{C}$ ; otherwise, one would have  $\Omega \cong \tau^m$  on  $\mathcal{C}$  and  $\tau \cong \Omega^2 \cong \tau^{2m}$ .

2.2. Suppose  $\mathcal{C}$  is a quasi-serial stable component and  $M = [r]X$  belongs to  $\mathcal{C}$ . Modifying [R2, (3.3)] slightly, the full subquiver  $\mathcal{W}(M)$  of  $\mathcal{C}$  whose vertices are given by  $\tau^l([s]X)$  with  $1 \leq s \leq r$  and  $0 \leq l \leq r-s$  is called the *wing* spanned by  $M$ .

Suppose  $\mathcal{W}(X(r+1))$  is a wing and  $W$  is an indecomposable module with  $W, \Omega^-W \notin \mathcal{W}(\tau^-X(r))$ . As in 1.5  $d_W$  denotes the function  $\dim \underline{\text{Hom}}(W, -)$ . Then we have

$$d_W(X(r+1)) = \sum_{i=0}^r d_W(\tau^{-i}X).$$

Consider the Auslander-Reiten sequence

$$0 \rightarrow X(r) \rightarrow X(r+1) \oplus \tau^-X(r-1) \oplus P \rightarrow \tau^-X(r) \rightarrow 0$$

where  $P$  is projective or zero. Then  $d_W(X(r+1)) = d_W(X(r)) + d_W(\tau^-X(r)) - d_W(\tau^-X(r-1))$  by 1.5.1. The claim follows by induction on  $r$ .

2.2.1. We call a full subquiver of a quasi-serial component  $\mathcal{C}$  a *ladder* if its vertices are given by all  $[s]X$  for  $1 \leq s \leq r$  together with  $\tau[t]X$  for  $1 \leq t < r$  (or dually by the vertices  $X(s)$  for  $1 \leq s \leq r$  together with  $\tau^-X(t)$  for  $1 \leq t < r$ ).

**Lemma.** *Given any ladder, there is at most one projective attached to its interior:*

*Proof.* Assume for some  $m > 1$  there is an Auslander-Reiten sequence in  $\mathcal{C}$ , say

$$0 \rightarrow \tau X(m) \xrightarrow{\epsilon} \tau X(m+1) \oplus P \oplus X(m-1) \xrightarrow{\pi} X(m) \rightarrow 0$$

where  $P$  is indecomposable projective and where  $\epsilon = (\epsilon_i)$  and  $\pi = (\pi_i)^t$ . We will show that  $P$  is the unique indecomposable projective in the ladder starting at  $\tau X(m+1)$  and  $X(m)$ , respectively  $\tau X(m)$  and  $\tau X(m+1)$ . Then  $P \neq 0$  if and only if  $\pi_1$  and  $\pi_3$  are mono; moreover, if this happens, then  $\epsilon_1, \epsilon_3$  are epi. If  $\epsilon_3$  is epi, then it follows by induction on  $i$  that all the irreducible maps  $\tau X(m-i) \rightarrow X(m-i-1)$  are surjective for  $0 \leq i \leq m-2$ . Similarly,  $\pi_3 : X(m-1) \rightarrow X(m)$  mono gives irreducible monos  $\tau^{-i} X(m-i-1) \rightarrow \tau^{-i} X(m-i)$  for  $0 \leq i \leq m-2$ , too. Therefore, there is no non-zero projective in the ladder starting with  $\tau X(m) \rightarrow X(m-1)$  as well as in the ladder starting at  $\tau X(m)$  and  $\tau X(m+1)$ .  $\square$

**2.3.** If  $A$  is a representation-infinite hereditary algebra and  $\mathcal{C}$  a regular component in the Auslander-Reiten quiver of  $A$ , then  $\mathcal{C}$  is either a tube or of type  $\mathbf{Z}A_\infty$ . All irreducible maps  $X(i) \rightarrow X(i+1)$  are injective and one may consider them as inclusions. If  $A$  is tame hereditary, then  $\mathcal{C}$  is a tube of period  $k$  with quasi-simple modules  $X_1, \dots, X_k$ . The category  $\text{add } \mathcal{C}$  is a serial abelian category, that is, any indecomposable object in  $\text{add } \mathcal{C}$  has a unique composition series in  $\text{add } \mathcal{C}$  and the simple objects in this category are the modules  $X_1, \dots, X_k$ ; see [R2]. This does not hold if  $A$  is wild hereditary. But one gets at least that for any quasi-simple module  $X$  in  $\mathcal{C}$  and any natural numbers  $i, j$  there is a short exact sequence  $0 \rightarrow X(i) \rightarrow X(i+j) \rightarrow \tau^{-i} X(j) \rightarrow 0$ ; see [R1]. Hence  $\mathcal{C}$  was called quasi-serial in this case. The following result explains why we call a component for a self-injective algebra stably quasi-serial, if its stable part is of type  $\mathbf{Z}A_\infty$  or is a tube.

**Proposition.** *Let  $\mathcal{C}$  be a component which is stably quasi-serial, let  $X$  be quasi-simple in  $\mathcal{C}$  and  $i, j > 0$ . Then there exists a short exact sequence*

$$0 \rightarrow X(i) \xrightarrow{\epsilon} X(i+j) \oplus P \xrightarrow{\pi} \tau^{-i} X(j) \rightarrow 0$$

where  $P$  is projective, possibly decomposable or zero, and  $\pi = (\pi_1, \pi_2)^t$ ,  $\epsilon = (\epsilon_1, \epsilon_2)$  with  $\epsilon_1, \pi_1$  chains of irreducible maps corresponding to the sectional paths; and the components of  $\pi_2, \epsilon_2$  are compositions of irreducible maps.

*Proof.* The proof is done by induction on  $i$  and  $j$ . The first case is formulated as a separate lemma.

**2.3.1 Lemma.** *Let  $\mathcal{C}$  be a component which is stably quasi-serial, and let  $X(r) = [r]Y$  be indecomposable in  $\mathcal{C}$ .*

(a) *There exists a short exact sequence*

$$0 \rightarrow \tau X \xrightarrow{\epsilon} \tau X(r+1) \oplus P \xrightarrow{\pi} X(r) \rightarrow 0$$

where  $\pi = \begin{pmatrix} \pi_1 \\ \pi_2 \end{pmatrix}$  and  $\epsilon = (\epsilon_1, \epsilon_2)$  with  $\pi_1$  irreducible, and where  $P$  is zero or the indecomposable projective in the ladder starting at  $\tau X(r+1), X(r)$  and  $\pi_2, \epsilon_i$  are compositions of irreducible maps. Hence  $\epsilon_1 \pi_1$  is in  $\mathcal{P}(\tau X, X(r))$ .

(b) *There exists a short exact sequence*

$$0 \rightarrow X(r) \xrightarrow{\epsilon} X(r+1) \oplus P \xrightarrow{\pi} \tau^{-1} Y \rightarrow 0$$

where  $P$  is zero or the indecomposable projective in the ladder starting at  $X(r), X(r+1)$  and where  $\epsilon = (\epsilon_1, \epsilon_2)$  and  $\pi = \begin{pmatrix} \pi_1 \\ \pi_2 \end{pmatrix}$  with  $\epsilon_1$  irreducible and  $\epsilon_2, \pi_i$  compositions of irreducible maps. Hence  $\epsilon_1 \pi_1$  is in  $\mathcal{P}(X(r), \tau^{-1} Y)$ .

*Proof.* (a) For  $i = 1, 2, \dots$  we fix irreducible maps corresponding to Auslander-Reiten sequences which satisfy the mesh relations, as follows. Let

$$f_i : X(i) \rightarrow X(i+1), \quad f'_i : \tau X(i) \rightarrow \tau X(i+1), \quad g_i : \tau X(i+1) \rightarrow X(i).$$

If there is a projective attached to the ladder, say between  $X(m)$  and  $\tau X(m)$ , then we have also such irreducible maps  $\alpha : \tau X(m) \rightarrow P$  and  $\beta : P \rightarrow X(m)$ . (This can be done, by using [ARS] V.5.3, V.2.3.)

Take  $\epsilon_1 = f'_1 f'_2 \dots f'_r$  and  $\pi_1 = g_r$ . If there is no projective attached to the ladder, take  $\epsilon_2 = 0 = \pi_2$ . Otherwise, take  $\epsilon_2 = \pm f'_1 f'_2 \dots f'_{m-1} \alpha$  and  $\pi_2 = \beta f_m f_{m+1} \dots f_{r-1}$ ; the mesh relations imply that  $\epsilon \pi = 0$  for the appropriate choice of the sign.

The map  $\epsilon$  is injective by definition. We show that  $\pi$  is surjective. This is obvious if  $P = 0$  or  $m = r$ , so we assume  $P \neq 0$  and we use induction on  $r - m$ . Assume  $m < r$  and  $\pi$  is not surjective. Take  $x \in X(r) \setminus \text{Im}(\pi)$ . Choose  $x_1 \in \tau X(r+1)$ ,  $x_2 \in X(r-1)$  such that  $x_1 g_r + x_2 f_{r-1} = x$ . By induction, the map  $\binom{g_{r-1}}{\pi'_2} : \tau X(r) \oplus P \rightarrow X(r-1)$  is surjective; hence  $x_2 = y g_{r-1} + z \pi'_2$ . But then we get  $x = x_1 g_r + y g_{r-1} f_{r-1} + z \pi_2 = (x_1 + y f'_r) g_r + z \pi_2$ , a contradiction.

From the construction it follows that  $\dim(\tau X(r+1) \oplus P) = \dim(\tau X \oplus X(r))$ , hence the sequence is exact. Part (b) is proved similarly.

**2.3.2.** We continue with the proof of Proposition 2.3. We fix the irreducible maps in the relevant part of the component  $\mathcal{C}$  such that all mesh-relations are satisfied (this can be done locally; see [ARS] V5.3, V2.3). We denote by  $f_s : X(i+s-1) \rightarrow X(i+s)$  for  $s \geq 1$  the irreducible maps, and we take  $\epsilon_1 = f_1 \dots f_j$ . Similarly, for  $\pi_1$  we take the composition of the irreducible maps corresponding to the sectional path  $X(i+j) \rightarrow \tau^{-i} X(j)$ .

Consider the set of indecomposable projective modules  $\{P_1, \dots, P_r\}$  such that there are paths  $\alpha_t$  from  $X(i)$  to  $P_t$  and  $\beta_t$  from  $P_t$  to  $\tau^{-i} X(j)$  in  $\mathcal{C}$  and the composed path has length  $i+j$ . We may additionally assume that there are no further projective modules on the composed path  $\alpha_t \beta_t$ . Let  $e_t : X(i) \rightarrow P_t$  and  $p_t : P_t \rightarrow \tau^{-i} X(j)$  be the chain of irreducible maps corresponding to the chosen paths  $\alpha_t$  and  $\beta_t$ , define  $P = \bigoplus P_t$  and  $\epsilon_2 = (\pm e_1, \dots, \pm e_r)$  and  $\pi_2 = (p_1, \dots, p_r)^t$ .

First, observe the following. If  $\gamma$  is a path from  $X(i)$  to  $\tau^{-i} X(j)$  such that no projective occurs in the part of  $\mathcal{C}$  between  $\gamma$  and the sectional paths  $X(i) \rightarrow \tau^{-i+1} X$  and  $\tau^{-i} X \rightarrow \tau^{-i} X(j)$ , then any composition of irreducible maps corresponding to  $\gamma$  is zero. Using this and the mesh relations one sees that there is a choice of signs such that  $\epsilon \pi = 0$ . (See Figure 1.)

Since  $\dim(X(i+j) \oplus P) = \dim(X(i) \oplus \tau^{-i} X(j))$ , it suffices to show that  $\epsilon$  is injective and  $\pi$  is surjective.

Consider the ladder starting at  $X(i)$  and  $X(i+1)$ . If no projective module is in the interior of the ladder, clearly  $f_1$  is injective and additionally we may assume that all the maps  $e_i$  are of the form  $f_1 e'_i$ . Since  $i+j = (i+1) + (j-1)$  we may assume by induction that  $\epsilon' = (f_2 \dots f_i, e'_1, \dots, e'_r) : X(i+1) \rightarrow X(i+j) \oplus P$  is injective. But  $\epsilon = f_1 \epsilon'$  in this case.

If there is a projective occurring in the ladder, then by 2.2.1 there is only one,  $P_1$  say; and by 2.3.1(b) the map  $(f_1, e_1) : X(i) \rightarrow X(i+1) \oplus P_1$  is injective. For  $j > 1$  we may assume  $e_j = f_1 e'_j$ . Again, we get by induction that the map  $(f_2 \dots f_j, e'_2, \dots, e'_r) : X(i+1) \rightarrow X(i+j) \oplus (\bigoplus_{j=2}^r P_j)$  is injective. Hence  $\epsilon$  is injective as well.

Dually one shows the surjectivity of  $\pi$ , using 2.3.1(a) and induction.

**2.4 Lemma.** Assume  $\mathcal{C}$  is a quasi-serial regular component and  $X, Y$  in  $\mathcal{C}$  are quasi-simple with  $X(r) \cong [r]Y$ .

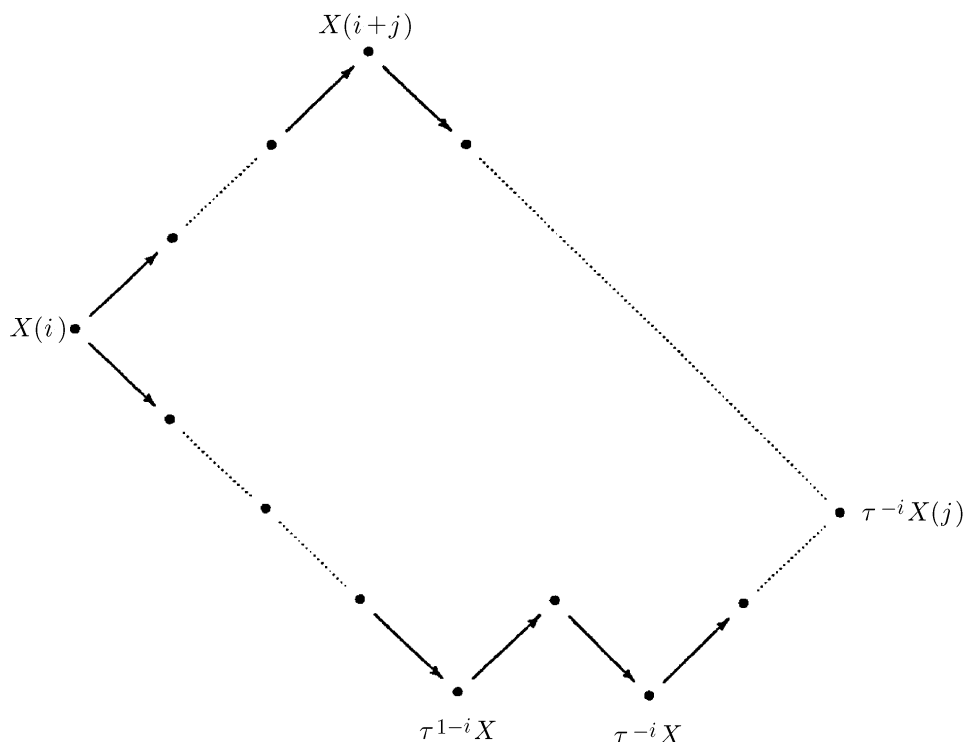


FIGURE 1.

(a) Suppose  $\pi : [r+1]Y \rightarrow [r]Y$  is an irreducible epi. If  $W$  is indecomposable and not isomorphic to  $X(s)$  for  $1 \leq s \leq r$ , then  $\pi$  induces an epimorphism

$$\mathrm{Hom}(W, [r+1]Y) \rightarrow \mathrm{Hom}(W, [r]Y) \rightarrow 0.$$

(b) Suppose  $\epsilon : X(r) \rightarrow X(r+1)$  is an irreducible mono. If  $W$  is indecomposable and not isomorphic to  $[s]Y$  for  $1 \leq s \leq r$ , then  $\epsilon$  induces an epimorphism

$$\mathrm{Hom}(X(r+1), W) \rightarrow \mathrm{Hom}(X(r), W) \rightarrow 0.$$

This is proved in [R1]; the proof given there does not use that the algebra is hereditary. In fact, it holds for an arbitrary finite-dimensional algebra.

The hypotheses in 2.4 are also necessary. With the notation as in 2.4(a), consider  $M = X(s)$  where  $1 \leq s \leq r$ , then the map  $(M, \pi)$  is not surjective. If  $r = s$ , then  $\mathrm{id}_M$  does not factor through  $\pi$ . Moreover, for  $1 \leq s < r$  there is a monomorphism  $\epsilon : M \rightarrow X(s)$  which is a composition of irreducible maps. Then  $\epsilon$  does not factor through  $\pi$ . (Suppose  $\epsilon = \psi\pi$  for  $\psi : M \rightarrow [r+1]Y$ . By applying 2.4(b) repeatedly we see that  $\epsilon$  induces an epimorphism

$$\mathrm{Hom}(X(s), [r+1]Y) \rightarrow \mathrm{Hom}(X(r), [r+1]Y) \rightarrow 0.$$

Hence  $\psi = \epsilon\eta$  for  $\eta : X(s) \rightarrow [r+1]Y$ . Now  $\epsilon = \epsilon\eta\pi$ ; since  $1 - \eta\pi$  is an isomorphism, it follows that  $\epsilon = 0$ , a contradiction.)

Similarly, if  $M = [s]Y$  for some  $s$  with  $1 \leq s \leq r$ , then  $(\epsilon, M)$  is not surjective.



2.4.1. Lemma 2.4 has a stable version.

**Lemma.** Assume  $\mathcal{C}$  is a stably quasi-serial component and  $X, Y$  are quasi-simple in  $\mathcal{C}$  with  $X(r) \cong [r]Y$ . Let  $W$  be indecomposable.

(a) Suppose  $\pi : [r+1]Y \rightarrow [r]Y$  is irreducible. If  $W$  is not isomorphic to  $X(s)$  for  $1 \leq s \leq r$ , then  $\pi$  induces an epimorphism

$$\underline{\text{Hom}}(W, [r+1]Y) \rightarrow \underline{\text{Hom}}(W, [r]Y) \rightarrow 0.$$

(b) Suppose  $\epsilon : X(r) \rightarrow X(r+1)$  is irreducible. If  $W$  is not isomorphic to  $[s]Y$  for  $1 \leq s \leq r$ , then  $\epsilon$  induces an epimorphism

$$\underline{\text{Hom}}(X(r+1), W) \rightarrow \underline{\text{Hom}}(X(r), W) \rightarrow 0.$$

*Proof.* (a) There is a module  $P$  which is projective or zero and an irreducible map

$$P \oplus [r+1]Y \xrightarrow{\pi_1} [r]Y.$$

This induces

$$\underline{\text{Hom}}(W, P \oplus [r+1]Y) \xrightarrow{\bar{\pi}_1} \underline{\text{Hom}}(W, [r]Y).$$

We proceed by induction on  $r$ . If  $r = 1$ , then  $P \oplus [r+1]Y$  is the whole middle term of the Auslander-Reiten sequence and then  $(W, \pi_1)$  is surjective and hence  $\bar{\pi}_1$  is surjective, by 1.4.

Now assume  $r > 1$ . Let  $f : W \rightarrow [r]Y$ , then  $f$  is not a split epimorphism, so we have  $f = g_1\pi_1 + g_2\pi_2$  where the Auslander-Reiten sequence is given by

$$0 \rightarrow \tau[r]Y \xrightarrow{(\epsilon_1, \epsilon_2)} (P \oplus [r+1]Y) \oplus \tau[r-1]Y \xrightarrow{(\pi_1, \pi_2)} [r]Y \rightarrow 0.$$

Note that  $\epsilon_1\pi_1 + \epsilon_2\pi_2 = 0$ .

Consider the irreducible map  $\epsilon_2$ . By the inductive hypothesis,  $\epsilon_2$  induces a surjective map

$$\underline{\text{Hom}}(W, \tau[r]Y) \rightarrow \underline{\text{Hom}}(W, \tau[r-1]Y) \rightarrow 0.$$

This gives  $\underline{g}_2 = \underline{\eta}\epsilon_2$  for  $\eta : W \rightarrow \tau[r]Y$ , that is,

$$g_2 = \eta\epsilon_2 + \rho, \quad \rho \in \mathcal{P}(W, \tau[r]Y)$$

and therefore  $f = g_1\pi_1 + (\eta\epsilon_2 + \rho)\pi_2 = (g_1 - \eta\epsilon_1)\pi_1 + \rho\pi_2$  and  $\underline{f} = \underline{h}\pi_1$  for some  $h$ , as required.

Part (b) is similar. □

2.5. We combine 1.4, 2.3 and 2.4, 2.4.1 and obtain the following:

(a) Assume  $0 \rightarrow \tau X \xrightarrow{\epsilon} \tau X(r+1) \oplus P \xrightarrow{\pi} X(r) \rightarrow 0$  is the short exact sequence as in 2.3.1(a) and let  $X(r) = [r]Y$ .

Suppose  $W$  is indecomposable, then we have an exact sequence

$$\underline{\text{Hom}}(W, \tau X) \xrightarrow{\bar{\epsilon}} \underline{\text{Hom}}(W, [r+1]Y) \xrightarrow{\bar{\pi}} \underline{\text{Hom}}(W, [r]Y).$$

(1) If  $\Omega^{-1}W \not\cong X(s)$  for  $1 \leq s \leq r$ , then  $\bar{\epsilon}$  is 1-1.

Namely, by 2.4.1 we know  $\underline{\text{Hom}}(\Omega^{-1}W, \pi)$  is onto, and then by 1.4(2) also  $(\Omega^{-1}W, \pi)$  is onto. Now 1.4(1) implies that  $\bar{\epsilon}$  must be 1-1.

(2) If  $W \not\cong X(s)$  for  $1 \leq s \leq r$ , the  $\bar{\pi}$  is onto.

Hence, if  $W, \Omega^{-1}W \not\cong X(s)$  for  $1 \leq s \leq r$ , then

$$d_W(\tau X) + d_W([r]Y) = d_W([r+1]Y).$$

(b) Assume  $0 \rightarrow X(r) \xrightarrow{\epsilon} X(r+1) \oplus P \xrightarrow{\pi} \tau^{-1}Y \rightarrow 0$  is exact, as in 2.3.1(b)

Suppose  $W$  is indecomposable, then we have an exact sequence

$$\underline{\text{Hom}}(\tau^{-1}Y, W) \xrightarrow{\bar{\pi}} \underline{\text{Hom}}(X(r+1), W) \xrightarrow{\bar{\epsilon}} \underline{\text{Hom}}(X(r), W).$$

(1) If  $\Omega W \not\cong [s]Y$  for  $1 \leq s \leq r$ , then  $\bar{\pi}$  is 1-1.

(2) If  $W \not\cong [s]Y$  for  $1 \leq s \leq r$ , then  $\bar{\epsilon}$  is onto.

In particular, if  $W, \Omega W \not\cong [s]Y$  for  $1 \leq s \leq r$ , then

$$d'_W(\tau^{-1}Y) + d'_W(X(r)) = d'_W(X(r+1)).$$

**2.6 Lemma.** *Let  $\mathcal{C}$  be a stably quasi-serial component. If  $[r]X \in \mathcal{C}$  has quasi-length  $r$  and quasi-top  $X$ , then a chain of irreducible maps  $\pi : [r]X \rightarrow [s]X$  ( $\epsilon : \tau^{r-s}[s]X \rightarrow [r]X$ , respectively) for  $r > s \geq 1$  does not factor through a projective module.*

*Proof.* We may assume that  $s = 1$ . First, it will be shown that  $\underline{\pi} = 0$  implies  $\underline{\text{Hom}}([r]X, X) = 0$ . For  $0 \neq \underline{f} \in \underline{\text{Hom}}([r]X, X)$  we get  $\underline{f} = \underline{g}\pi$  by 2.4.1, with  $g \in \text{End}([r]X)$ , hence  $\underline{\pi} \neq 0$ .

Suppose next  $\underline{\text{Hom}}([r]X, X) = 0$ . By 2.2 we have

$$0 < \dim \underline{\text{End}}([r]X) = d_{[r]X}([r]X) = \sum_{i=0}^{r-1} d_{[r]X}(\tau^i X).$$

Hence we get  $d_{[r]X}(\tau^i X) \neq 0$  for some  $i$  and from the assumption  $i > 0$ . Let  $0 \neq \underline{f} \in \underline{\text{Hom}}([r]X, \tau^i X)$ . Again, by 2.4.1 we deduce the existence of a map  $g \in \text{Hom}([r]X, \tau^i[r]X)$  with  $\underline{f} = \underline{g}\pi'$ , where  $\pi' : \tau^i[r]X \rightarrow \tau^i X$  is a chain of irreducible maps. But  $\underline{\pi}' = 0$  gives the contradiction. Dually one shows  $\underline{\epsilon} \neq 0$ .

**2.6.1 Corollary.** *In 2.4.1 the converse also is true: With the notation as in 2.4.1 we have:*

(a) *If  $W \cong X(s)$  for some  $s$  with  $1 \leq s \leq r$ , then the map induced by  $\pi$*

$$\underline{\text{Hom}}(W, [r+1]Y) \rightarrow \underline{\text{Hom}}(W, [r]Y)$$

*is not surjective.*

(b) *If  $W \cong [s]Y$  for some  $s$  with  $1 \leq s \leq r$ , then the map induced by  $\epsilon$*

$$\underline{\text{Hom}}(X(r+1), W) \rightarrow \underline{\text{Hom}}(X(r), W)$$

*is not surjective.*

*Proof.* (a) Let  $f = id$  if  $r = s$  and  $f = \epsilon_1 : W \rightarrow [r]Y$  the sectional chain of irreducible maps, otherwise. We claim that  $\underline{f}$  does not lie in the image of the map induced by  $\pi$ . Otherwise, we would have

$$f = \psi\pi + \rho, \quad \text{for } \psi \in \text{Hom}(W, [r+1]Y), \rho \in \mathcal{P}(W, [r]Y).$$

In case  $f = id$  we have  $\rho$  is in the radical of  $\text{End}([r]Y)$ , hence is nilpotent and  $\pi$  splits, a contradiction.

Now suppose  $f = \epsilon_1$ . By applying 2.4.1(b) repeatedly we have that the map

$$\bar{\epsilon}_1 : \underline{\text{Hom}}(X(r), [r+1]Y) \rightarrow \underline{\text{Hom}}(X(s), [r+1]Y)$$

is surjective. So  $\psi = \epsilon_1\eta + \rho_1$  with  $\rho_1 \in \mathcal{P}(W, [r+1]Y)$ . It follows that

$$\epsilon_1 = (\epsilon_1\eta + \rho_1)\pi + \rho$$

and  $\epsilon_1(1 - \eta\pi)$  factors through a projective. Since  $1 - \eta\pi$  is a unit,  $\epsilon_1$  factors through a projective module, a contradiction to 2.6. Part (b) is similar.

## 3. ON DIMENSIONS OF SPACES OF STABLE HOMOMORPHISM

3.1. In this section,  $\Lambda$  is an arbitrary finite-dimensional representation infinite connected self-injective algebra, and we assume that  $f$  is some equivalence of the stable category  $\underline{\text{mod}}\Lambda$ ; this includes  $f = \tau^s$  or  $f = \Omega^s$ . We study the function

$$\psi_f(M) = \dim \underline{\text{Hom}}_\Lambda(M, fM)$$

for  $M$  a module. Since  $f$  commutes with  $\tau, \Omega$  (see [ARS] X.1) it is constant on  $\tau$ -orbits and on  $\Omega$ -orbits.

**3.2 Lemma.** *Given an exact sequence in some quasi-serial component*

$$0 \rightarrow \tau[r-1]Z \xrightarrow{\epsilon} [r]Z \oplus P \xrightarrow{\pi} Z \rightarrow 0$$

*with  $Z$  quasi-simple, as in 2.3.1. Suppose  $W$  is indecomposable such that neither  $W$  nor  $\Omega W$  is a module in the same component of quasi-length  $< r$ , then  $d'_W$  is additive on such an exact sequence.*

*In particular, for  $W = f[r]Z$  we get*

$$d_{\tau[r-1]Z}(f[r]Z) \leq \psi_f([r]Z).$$

*Proof.* The first part follows from 1.4.1. For the second part  $W = f[r]Z$  has quasi-length  $r$  and by the first part  $d'_W(\tau[r-1]Z) \leq d'_W([r]Z)$ . Now,  $d'_W(\tau[r-1]Z) = d_{\tau[r-1]Z}(W) = d_{\tau[r-1]Z}(f[r]Z)$  and  $d'_W([r]Z) = \psi_f([r]Z)$ .

**3.3 Theorem.** *Let  $\mathcal{C}$  be a stable component which is quasi-serial and assume that it is not the case that  $\tau\Omega^{-1} \cong f \not\cong \tau$  on  $\mathcal{C}$ . Suppose that  $M, Q \in \mathcal{C}$  and  $ql(Q) < ql(M)$ , then*

$$\psi_f(Q) \leq \psi_f(M).$$

*Proof.* Let  $r = ql(M)$ ; it suffices to consider the case when there is an irreducible map  $Q \rightarrow M$  and  $ql(Q) = r-1$ . Let  $Y$  be the quasi-top of  $fM$ , then  $fM = [r]Y$  and  $fQ = \tau[r-1]Y$ . We consider the values of the function  $d_Q$  on the ladder starting with  $fQ \rightarrow fM$  and ending at  $Y$ . Let

$$a_i = d_Q([i]Y), \quad 1 \leq i \leq r, \quad \text{and} \quad b_i = d_Q(\tau[i]Y), \quad 1 \leq i \leq r-1.$$

Then  $b_{r-1} = d_Q(fQ) = \psi_f(Q)$  and moreover, we have  $a_r = d_Q(fM)$  and hence by 3.2 we know that  $a_r \leq \psi_f(M)$ . So it suffices to show that  $b_{r-1} \leq a_r$ .

By considering quasi-lengths one sees that  $Q, \Omega^{-1}Q$  cannot occur in the lower part of the ladder and hence  $d_Q$  is additive on this part. Therefore,

$$b_1 + a_1 = a_2, \quad b_i + a_i = a_{i+1} + b_{i-1}, \quad 2 \leq i \leq r-2.$$

This implies

$$b_i = a_{i+1} - a_1, \quad 1 \leq i \leq r-2.$$

*Case 1.* Assume  $f \not\cong \tau$  on  $\mathcal{C}$ , then by the hypothesis also  $f \not\cong \tau\Omega^{-1}$  on  $\mathcal{C}$ . Hence by 1.5.1,  $d_Q$  is additive on the whole ladder and

$$b_{r-1} + a_{r-1} = a_r + b_{r-2}.$$

It follows that  $b_{r-1} = a_r - a_1 \leq a_r \leq \psi_f(M)$ , as required.

*Case 2.* Assume  $f \cong \tau$  on  $\mathcal{C}$ . Then the remaining Auslander-Reiten sequence of the ladder is of the form

$$0 \rightarrow \tau Q \xrightarrow{\epsilon} \tau M \oplus M' \xrightarrow{\pi} Q \rightarrow 0$$

(where  $M' = \tau[r-2]Y \oplus P$  for  $P$  projective or zero; for  $r = 2$  the first summand of  $M'$  is zero). By 1.5.2 this induces an exact sequence

$$\eta: \underline{\mathrm{Hom}}(Q, fQ) \xrightarrow{\bar{\epsilon}} \underline{\mathrm{Hom}}(Q, fM \oplus M') \rightarrow \underline{\mathrm{rad}}(Q, Q) \rightarrow 0.$$

Assume first that  $Q \neq \Omega(Q)$ . Then  $(\Omega^{-1}Q, \pi)$  is onto and by 1.4 we have that  $\bar{\epsilon}$  is 1-1 and

$$a_r + b_{r-2} = b_{r-1} + (a_{r-1} - 1)$$

hence  $a_r - b_{r-1} = a_1 - 1$ . Now,  $a_1 = \dim \underline{\mathrm{Hom}}(Q, Y)$  here and this is non-zero by 2.6. Therefore,  $a_r - b_{r-1} \geq 0$ , as required.

Now assume  $Q \cong \Omega(Q)$ . Then in the above exact sequence  $\eta$ , the kernel of  $\bar{\epsilon}$  has dimension 1. So we get  $a_r - b_{r-1} = a_1 - 2$ . As before, we know that  $a_1 \geq 1$  and hence  $b_{r-1} = \psi_f(Q) \leq a_r + 1$ . If we can show that  $a_r < \psi_f(M)$ , then we are done. From 3.2 we know that

$$a_r + \dim \underline{\mathrm{Hom}}(Z, fM) = \psi_f(M)$$

where  $Z$  is the quasi-top of  $M$ . So we must show that

$$\underline{\mathrm{Hom}}(Z, fM) \neq 0.$$

Since  $f \cong \tau$  and  $\Omega \cong id$  on  $\mathcal{C}$  we have  $K$ -isomorphisms

$$\underline{\mathrm{Hom}}(Z, fM) \cong \mathrm{Ext}^1(M, Z) \cong \underline{\mathrm{Hom}}(M, Z) \neq 0$$

again by 2.6.

3.3.1. Consider a quasi-serial component  $\mathcal{C}$  such that  $\tau\Omega^{-1} \cong f \not\cong \tau$  on  $\mathcal{C}$ .

(1) Suppose  $f$  fixes  $\mathcal{C}$ , then this is quite exceptional. Namely,  $f$  must fix each  $\tau$ -orbit in  $\mathcal{C}$  and hence there is some integer  $s$  such that

$$\tau\Omega^{-1}M \cong fM \cong \tau^s M \quad (M \in \mathcal{C}).$$

Since  $\tau \cong \Omega^2\nu$  where  $\nu$  is a Nakayama twist, it follows that  $\Omega^{2s-1} \cong \nu^{1-s}$  on  $\mathcal{C}$ . This preserves dimensions of modules. So if this happens, then all modules in  $\mathcal{C}$  have bounded projective resolutions. If in addition  $\Lambda$  is symmetric, then  $\nu \cong id$ . It is easy to see that  $\tau^{s-1} \cong \Omega^{-1} \not\cong id$  on  $\mathcal{C}$  if and only if  $\mathcal{C}$  is a tube fixed by  $\Omega$  of rank  $t > 1$  where  $t$  divides  $2s-1$ .

(2) Similarly, if  $f = \Omega^s$ , then it follows that  $\nu \cong \Omega^{s-1}$  on  $\mathcal{C}$  and modules in this component have bounded projective resolutions. In case  $\Lambda$  is symmetric we have  $\mathcal{C}$  must be a tube of rank  $t$  and  $t$  divides  $s-1$ .

3.3.2. In general, it is possible that  $ql(Q) < ql(M)$  but  $\psi_f(Q) = \psi_f(M)$ . An example can be found in [EKS, 6.6] with  $f = id$ : for any natural number  $n \geq 2$  there exists a symmetric algebra  $A$  with  $n$  simple modules which has a component  $\mathcal{C}$  of the form  $\mathbf{Z}A_\infty$  containing stable bricks of quasi-length  $n-1$ . Hence we have  $\psi_f(Q) = 1$  for all  $Q \in \mathcal{C}$  with  $ql(Q) \geq n-1$ .

3.4. In the situation of 3.3, we have a vanishing condition: Suppose  $ql(Q) + 1 = ql(M)$  and there is an irreducible map  $Q \rightarrow M$ . Assume we are in Case 1 of the proof. If  $\psi_f(Q) = \psi_f(M)$ , then  $d_Q(Y) = 0$  where  $Y$  is the quasi-top of  $fM$ .

3.5. One may ask whether the function  $\psi_f$  is unbounded on a quasi-serial component. For tubes we get.

**Lemma.** *Let  $\mathcal{T}$  be a tube, and let  $f$  be a stable equivalence of  $\underline{\text{mod}}\Lambda$ . Then the set  $\{\psi_f(M) : M \in \mathcal{T}\}$  is either unbounded, or  $\psi_f(M) = 0$  for all  $M \in \mathcal{T}$ . In the latter case we have  $f\mathcal{T} \neq \mathcal{T}$ .*

*Proof.* Let  $\mathcal{T}$  be a tube and suppose  $k$  is the period of  $\mathcal{T}$ .

Assume first  $f\mathcal{T} = \mathcal{T}$ . Then  $f = \tau^s$  on the objects of  $\mathcal{T}$ , for some  $s$ ; see [ARS] X.1. Let  $r \geq mk$  and  $M$  be an indecomposable module in  $\mathcal{T}$  of quasi-length  $r$ . If  $fM = \tau^s M = X(r)$  with quasi-socle  $X$ , then we have

$$\psi_f(M) = d_M(\tau^s M) = d_M(X(r)).$$

Clearly  $M, \Omega^- M$  do not belong to  $\mathcal{W}(\tau^- X(r-1))$ , hence by 2.2 we have

$$d_M(X(r)) = \sum_{i=0}^{r-1} d_M(\tau^{-i} X).$$

Let  $\delta = \sum_{i=0}^{k-1} d_M(\tau^{-i} X)$ , then  $\delta$  is non-zero (since the quasi-top of  $M$  occurs amongst the modules  $\tau^{-i} X$ ). It follows that  $d_M(X(r)) \geq m\delta \geq m$ .

Suppose  $f\mathcal{T} \neq \mathcal{T}$  and there is some indecomposable  $M = Y(r)$  in  $\mathcal{T}$  with  $\psi_f(M) \neq 0$ . Let  $fM = X(r)$ . Again, we get

$$\psi_f(M) = \sum_{i=0}^{r-1} d_M(\tau^{-i} X)$$

therefore  $\delta = \sum_{i=0}^{k-1} d_M(\tau^{-i} X)$  is non-zero. From (2.4.1(b)) we get  $d_{Y(r)}(\tau^{-i} X)J \leq d_{Y(r+k)}(\tau^{-i} X)$ . Hence we have  $\psi_f(Y(r+k)) \geq \psi_f(M) + \delta$  and the claim follows.

3.5.1. In the special case when  $f = id$  we get

**Lemma.** *Let  $\mathcal{T}$  be a tube of period  $k$ . If  $M$  is indecomposable in  $\mathcal{T}$  with quasi-length  $r = mk + s$  with  $1 \leq s < k$ , then  $\dim \underline{\text{End}}(M) \geq m + 1$ .*

*Proof.* Let  $X$  be the quasi-top of  $M$ . By 2.2 we have  $\dim \underline{\text{End}}(M) = \sum_{i=0}^{r-1} d_M(\tau^i X) \geq (m+1)d_M(X)$ . But  $d_M(X) > 0$  by 2.6.

**3.6 Lemma.** *Let  $\mathcal{C}$  be a stable component which is isomorphic to  $\mathbf{Z}A_\infty$  which is not fixed by  $\Omega$ , and let  $f = \tau^s$ . If  $\{\psi_f(M) : M \in \mathcal{C}\}$  is bounded, then for  $m \gg 0$*

$$\underline{\text{Hom}}(X, \tau^{-m} X) = 0 = \underline{\text{Hom}}(\tau^m X, X)$$

where  $X \in \mathcal{C}$  is quasi-simple.

*Proof.* Since  $\mathcal{C}$  is not fixed by  $\Omega$ , the hypothesis for 3.3 is satisfied. By 3.3 there is some  $r_0$  such that for all  $Z \in \mathcal{C}$  with  $ql(Z) \geq r_0$  we have

$$\psi_f(Z) = d.$$

Fix a quasi-simple module  $X$  in  $\mathcal{C}$  and set  $Y := \tau^s X$ . We will first show that

$$\text{for all } r \geq r_0, \quad d'_Y([r]\tau X) = 0.$$

Let  $r \geq r_0$ . Clearly,  $\tau^{s-1} \neq id$  on  $\mathcal{C}$ ; we apply 3.4 with  $Q = \tau[r]X$  (and  $M = [r+1]X$ ), and we get  $d_Q(Y) = 0$ ; but  $d_Q(Y) = d'_Y(Q)$ .

Let  $r_1 = \max\{r_0, s+1\}$  and let  $(\rightarrow [r_1]\tau X)$  be the cone of all predecessors of  $[r_1]\tau X$  in  $\mathcal{C}$ . The function  $d'_Y$  is additive on this cone by 1.5.1.

For  $a = 1, 2, 3, \dots, r_1$  we set  $k_a := d'_Y([r_1 - a + 1]\tau^a X)$ . We claim that

$$d'_Y([r]\tau^a X) = k_a, \text{ constant, for } r \geq r_1 - a + 1.$$

For  $a = 1$  we have  $k_1 = 0$  and the statement was just proved; the general case follows by induction on  $a$ , by additivity. But then, again by the additivity of  $d'_Y$  on the cone  $(\rightarrow [r_1]\tau X)$  we get

$$d'_Y([t]\tau^b X) = 0 \text{ for all } t \geq 1 \text{ and } b > r_1.$$

Hence  $\underline{\text{Hom}}(X, \tau^{-m} X) = 0$  for all large  $m$ , and the statement follows.

3.6.1. We do not know an example of a stable component of type  $\mathbf{ZA}_\infty$  on which  $\psi_f$  is bounded and we expect that this may not occur.

The converse of 3.6 is not true. For example, let  $H$  be a connected wild hereditary algebra,  $\mathcal{D}$  a regular component in  $\Gamma_H$  and  $Y$  quasi-simple in  $\mathcal{D}$ . Then we have  $\text{Hom}(Y, \tau^{-m} Y) = 0$  for  $m \gg 0$ , by [K1]. It follows from [Ba] that for any integer  $s$  the sequence  $(\dim \text{Hom}(Y(m), \tau^s Y(m)))_m$  grows exponentially. If  $A$  is the trivial extension of  $H$  by  $D(H)$  (or more generally a self-injective algebra of wild tilted type as studied in [EKS]), then it follows that the same holds for the stable homomorphisms of  $A$  for any stable component of  $\mathbf{ZA}_\infty$  of  $A$  (see [EKS], section 4).

3.7. The Ext-algebra  $\text{Ext}^*(X, X)$  of a module  $X$  is of central interest. As an application of 3.3 we get for the dimensions of the spaces  $\text{Ext}^i(X, X)$ .

**Corollary.** *Let  $\mathcal{C}$  be a stable component which is quasi-serial. Suppose  $M, Q$  are in  $\mathcal{C}$  with  $ql(Q) < ql(M)$ .*

(a) *We have  $\dim \underline{\text{End}}(Q) \leq \dim \underline{\text{End}}(M)$  unless possibly  $\tau\Omega^{-1} \cong id \not\cong \tau$  on  $\mathcal{C}$ .*

(b) *We have  $\dim \text{Ext}^s(Q, Q) \leq \dim \text{Ext}^s(M, M)$  for  $s \geq 1$ , unless possibly  $\tau\Omega^{-1} \cong \Omega^s \not\cong \tau$  on  $\mathcal{C}$ .*

*Proof.* For part (a), take  $f = id$  in 3.3. In (b) take  $f = \Omega^s$ , recall that  $\text{Ext}^s(M, M) \cong \underline{\text{Hom}}(M, \Omega^s M)$ .  $\square$

3.8. A module whose endomorphism ring is  $K$  usually is called a brick. We call an indecomposable module  $M$  a *stable brick* if  $\underline{\text{End}}(M) = K$ . For example, any  $\tau$ -translate of a simple non-projective module is a stable brick. Although a stable brick is not normally a brick, we prefer this terminology. We get from 3.7

**Corollary.** *Let  $\mathcal{C}$  be a quasi-serial component such that it is not the case that  $\tau^{-1} \cong \Omega^{-1} \neq id$  on  $\mathcal{C}$ . Assume  $M = X(r)$  is a stable brick in  $\mathcal{C}$ , of quasi-length  $r$ . Then for  $1 \leq s \leq r$  the modules  $X(s)$  are stable bricks.*

#### 4. APPLICATIONS TO GROUP ALGEBRAS

4.1. Assume that  $\Lambda = KG$  where  $G$  is a finite group. Then  $\Lambda$  and, more generally, any block  $B$  of  $\Lambda$  is a symmetric algebra, and our results may be applied. We may assume  $\Lambda$  is not semi-simple, that is, the characteristic of  $K$  divides the order of  $G$ . When  $G$  is a  $p$ -group we have, in fact, strict inequality in 3.3.

4.2 **Theorem.** *Let  $\Lambda = KG$  where  $G$  is a  $p$ -group and  $\text{char} K = p$ . Assume  $f$  is a stable equivalence of  $\Lambda$  and  $\mathcal{C}$  is a quasi-serial component of  $\Gamma_s(\Lambda)$  which is fixed by  $f$ . Suppose it is not the case that  $\Omega \cong f \not\cong \Omega^2$  on  $\mathcal{C}$ . Suppose  $M, Q \in \mathcal{C}$  with  $ql(Q) < ql(M)$ , then*

$$\dim \underline{\text{Hom}}(Q, fQ) < \dim \underline{\text{Hom}}(M, fM).$$

*Proof.* Recall that  $\tau \cong \Omega^2$  for  $\Lambda$ . It follows from 3.3 that the dimensions in question are weakly increasing as functions of the quasi-length. Assume (for a contradiction) that equality holds for  $Q, M$  such that there is an irreducible mono  $Q \rightarrow M$  in  $\mathcal{C}$ . By 3.4 we have that  $d_Q(Y) = 0$  where  $Y$  is the quasi-top of  $fM$ . Hence, using a well-known identity

$$0 = \underline{\mathrm{Hom}}_{\Lambda}(Q, Y) \cong \underline{\mathrm{Hom}}_{\Lambda}(K, Q^* \otimes Y)$$

where  $K$  is the trivial module. Now,  $\Lambda$  is a local algebra, and it follows that  $Q^* \otimes Y$  is projective. This is not possible for non-projective modules in the same Auslander-Reiten-component.

Namely, let  $V(M)$  denote the variety of a  $\Lambda$ -module  $M$  (see [B] or [C]). The relevant properties are as follows. One has  $V(M) = \{0\}$  if and only if  $M$  is projective. Moreover,  $V(M) = V(M^*)$  and  $V(X \otimes Y) = V(X) \cap V(Y)$ ; and the variety of modules is constant on stable Auslander-Reiten components.

In the case considered we deduce  $\{0\} = V(Q^* \otimes Y) = V(Q^*) \cap V(Y) = V(Y)$  and  $Y$  is projective, a contradiction.

**4.3 Remarks.** (1) Recall that the hypothesis of 4.2 excludes precisely the case when  $\mathcal{C}$  is a tube fixed by  $\Omega$  such that  $f \cong \tau^s$  on  $\mathcal{C}$  and where  $\mathcal{C}$  has rank  $t > 1$  where  $t$  divides  $2s - 1$  (see 3.3.1). Modules in such a tube have the property that  $2\dim M$  is divisible by the order of  $G$ . This follows from the projective resolution. Namely, there is an exact sequence

$$0 \rightarrow M \rightarrow P_m \rightarrow \cdots \rightarrow P_0 \rightarrow M \rightarrow 0$$

with  $m$  even and where  $P_i$  is free, hence  $\dim(P_i)$  is divisible by  $|G|$ . By the exactness,  $2\dim M = \sum (-1)^i (\dim(P_i))$ .

(2) If  $f = id$ , then the conditions in 4.2 are vacuously satisfied.

**4.4 Corollary.** *Let  $\Lambda = KG$  where  $G$  is a  $p$ -group and  $\mathrm{char} K = p$ . Assume that  $\Lambda$  is of wild representation type. If  $M$  is indecomposable and  $\underline{\mathrm{End}}(M) \cong K$ , then  $M$  is quasi-simple.*

*Proof.* Apply 4.2 with  $f = id$ ; all components of  $\Gamma_s(\Lambda)$  are quasi-serial (by [E2]).

**4.5.** Let  $G$  be a  $p$ -group and  $\mathrm{char} K = p$ . It follows from 3.3 that  $M$  is a stable brick if and only if  $M^* \otimes M \cong X_M \oplus P$  where  $P$  is projective and  $X_M$  has a simple socle and is non-projective.

Following Dade [D], a  $KG$ -module  $M$  is defined to be endo-trivial if  $M \otimes M^* \cong K \oplus P$  where  $P$  is projective. Hence an endo-trivial module is a stable brick, and we obtain

**Corollary.** *Let  $\Lambda = KG$  where  $G$  is a  $p$ -group and  $\mathrm{char} K = p$ . If  $\Lambda$  is of wild type, then every endo-trivial module  $M$  has an Auslander-Reiten sequence whose middle term has a unique non-projective summand.*

If  $p = 2$  and  $\Lambda = KD$  where  $D$  is a dihedral 2-group, then there are endo-trivial modules where the middle term of the Auslander-Reiten sequence has two non-projective indecomposable summands.

**4.6.** If  $G$  is a  $p$ -group, we were not able to find an example of a  $KG$ -module which is a stable brick but which is not endo-trivial. After asking various people for examples it turned out that Jon Carlson already knew that stable bricks must always be endo-trivial; this will appear in [CR].

**4.7 Theorem.** Assume  $\Lambda = KG$  where  $G$  is a finite group and  $\mathcal{C}$  is quasi-serial, and let  $f = \tau^s$ . Then the set of dimensions  $\{\psi_f(M) | M \in \mathcal{C}\}$  is unbounded.

*Proof.* The statement holds by 3.5 for tubes. So we may assume that  $\mathcal{C} = \mathbf{Z}A_\infty$ . Then  $\mathcal{C}$  is not fixed by  $\Omega$  (see 2.1). Assume (for a contradiction) that the dimensions are bounded; then it follows from 3.6 that for  $m \gg 0$  and  $X \in \mathcal{C}$  quasi-simple we have

$$\underline{\mathrm{Hom}}(X, \tau^{-m}X) = 0.$$

Hence  $\mathrm{Ext}^{2m}(X, X) = 0$  for  $m \gg 0$ . Let  $\mathrm{Ext}^*(X, X)$  be the Ext-algebra, then it follows that every element in this algebra of positive even degree is nilpotent, and then also every element of positive degree. By [B2, 5.2.3] this happens only when  $X$  is projective; and this is a contradiction.

*Added in proof.* The result mentioned in 4.6 has appeared in Jon F. Carlson, *A characterization of endotrivial modules over  $p$ -groups*, Manuscripta Math. **97** (1998), 303–307. MR **99h**:20006

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